

**The development and use of a decadal climate prediction
system at GFDL**

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22 **Abstract**

23 In this paper we describe the development and application of the GFDL decadal climate prediction
24 system. This system consists of the GFDL CM2.1 global coupled climate model, an ensemble coupled
25 assimilation system for producing initial conditions as well as a reanalysis, and estimates of past and
26 future radiative forcing changes.

27 We assess the skill in this decadal prediction system through conducting and analyzing suites of
28 prediction experiments initialized over the period 1961-2012. For each of the 52 years, there is a ten
29 member ensemble of ten year experiments starting from observed initial conditions. Each of the
30 hindcasts is also forced with time-varying estimates of radiative forcing changes. This experimental
31 protocol is consistent with the near term prediction experiments of the Coupled Model Intercomparison
32 Project Phase 5, and these experiments have been made available for assessment in the fifth
33 Assessment Report of the Intergovernmental Panel for Climate Change.

34 The most notable predictable signal on decadal timescales is the warming trend in response to the
35 external radiative forcing. However, a consistent predictive signal in the North Atlantic associated with
36 Atlantic Multidecadal Variability emerges. The fact that this internal variability signal emerges over
37 the external forcing and has predictive skill from the initialization is encouraging to the prospects of the
38 nascent decadal prediction activity.

39 A decadal prediction starting in January 2012 and ending in 2021 is presented. Consistent with the
40 uninitialized projections there is increased warming over the decade with greater warming over land.
41 However, the initialized 2012 predictions are overall cooler than the uninitialized projections especially
42 in the Southern Ocean.

43 **1. Introduction**

44 An important question in the study of climate change is whether ‘near term’ or decadal predictions of

45 future climate change could be improved if models begin their predictions from an observed based state
46 estimation of the climate system (e.g. Meehl et al. 2009; Meehl et al. 2012). The underlying issue is the
47 following: changes in the climate system are a combination of **internal variability** of the coupled
48 system and the response of the climate system to radiative forcing changes (**the forced response**).
49 Most previous climate change simulations have started from an arbitrary point in a long control
50 simulation, and then impose changing atmospheric composition. The detailed time evolution of the
51 model response is not expected to match the observed seasonal to decadal evolution of the climate
52 system, since the model's internal variability will not match that of the real climate system. This type
53 of simulation is only meant to estimate the forced response of the climate system. Given that both the
54 internal variability and the forced response are important sources of potential predictability in global
55 scale projections, it is important that the ensemble of predictions sample both model and initialization
56 uncertainties (Hawkins and Sutton, 2009). A comprehensive review of the mechanisms responsible for
57 internal decadal climate variability and the understanding of the dynamics of interdecadal variability
58 may be found in Liu (2012).

59 As part of the CMIP5 (Taylor et al 2012) and the IPCC AR5 assessment, the international community
60 is conducting a set of coordinated experiments in which models used for the prediction of climate
61 change are initialized with estimates of the observed state of the climate system. The key question is
62 whether this initialization process produces model simulations and predictions that are more skillful at
63 predicting the details of the future evolution of the climate system across time scales, from seasonal to
64 decadal and longer, than simulations that are not initialized. These simulations are designed to compute
65 both the forced response of the climate system and the time evolution of the internal variability of the
66 climate system starting from an observed state. Several studies have suggested that initialization could
67 improve skill (Smith et al. 2007, Keenlyside et al. 2008, Pohlmann et al 2009).

68 This near term forecast study uses the GFDL CM2.1 coupled model (Delworth et al 2006). The
69 CM2.1 model has been the subject of previous predictability work. Zhang (2008) showed that the

70 Atlantic Multi-decadal Oscillation (AMO) is tied to the North Atlantic subsurface temperature in
71 observations and also in a long control run of CM2.1. Msadek et al (2010) using perfect model
72 experiments demonstrated that the Atlantic meridional overturning circulation (AMOC) is potentially
73 predictable on decadal time scales. Branstator et al. (2012) quantified the initial-value predictability of
74 six coupled models for both the North Pacific and North Atlantic oceans. The predictability for the
75 average 300m upper ocean temperatures from a CM2.1 1000-year control integration for the North
76 Pacific was found to be of the order 9 years and for the North Atlantic of the order 12 years. These
77 studies indicate that the CM2.1 model has substantial potential predictability, however, to what extent
78 the aforementioned idealized potential predictability will translate to realizable predictions is the
79 subject of this paper.

80 Throughout this paper the definition of the following terms are: forecast and prediction are used
81 inter-changeably and denote the output of simulations that are initialized with an observation based
82 state estimation; hindcasts are retrospective forecasts that are initialized with past observations and are
83 not using observations that would not have been available at the initial time (although the radiative
84 forcing includes volcanoes); and projections are an estimate of future climate states based on the forced
85 climate response of a particular emission scenario.

86 This paper attempts to distinguish the role of the internal variability from the forced response in the
87 GFDL decadal prediction system and is organized as follows:

88 In section 2, the model characteristics as well as the initialization procedure are described. In section 3,
89 the results of the decadal predictions are assessed relative to observations before a discussion of the
90 limitations to predictability in section 4. A prediction of global surface temperature out to 2021 is
91 presented in section 5 and a summary and conclusions are given in section 6.

92

93 **2. Initialization and Model**

94 The feasibility of decadal predictions of internal variability largely stems from the role the ocean
95 plays in the predictability of slowly evolving modes of variability. The challenge then is to have the
96 capability to represent this low frequency climate variability within our climate models so that
97 initializing them would offer the potential to predict internal variability in addition to externally forced
98 climate change.

99 Initialization has three main components: the observing system, the assimilation method, and the
100 model. These three components are combined to produce initial conditions for the climate model. We
101 examine each component and its relation to the decadal prediction problem, focusing on the role of the
102 ocean, as that is where the memory for predictability resides.

103 *a. The Observing System*

104 Historically, the sub-surface ocean has been very sparsely observed, and some of the data appear to
105 be significantly biased (Domingues et al. 2008; Ishi and Kimoto, 2009; Willis et al. 2009), making the
106 development and testing of ocean initialization schemes difficult. For instance, the non-stationary
107 nature of the ocean observing system, particularly due to the paucity of salinity data as well as
108 Expendable Bathythermograph temperature (XBT) data only going to 500-700 m depth, can give rise
109 to spurious decadal variability making the assessment of forecasts difficult.

110 Studies of historical periods are important in order to assess the likely skill of forecasts over a range
111 of different climate states. Recent and planned improvements to the observational network, however,
112 offer significant potential for improvements in future forecast skill. Perhaps most important among
113 these is the recent deployment of a global array of profiling floats by the Argo program
114 (<http://www.argo.ucsd.edu/>). These provide, for the first time, contemporaneous measurements of both
115 temperature and salinity over the upper 2 km of the global ocean (Roemmich and Gilson, 2009),
116 potentially offering a step change in our ability to initialize ocean heat and density anomalies. These

117 measurements are, therefore, likely to be critical in order to make useful predictions of the Atlantic
118 meridional overturning circulation and ocean heat transport (Dunstone and Smith, 2010, Zhang et al
119 2010). Another important recent contribution is the altimetry data (<http://www.aviso.oceanobs.com>)
120 that, in addition to its own merits, holds great promise in conjunction with Argo.

121

122 ***b. The GFDL assimilation system***

123 The GFDL assimilation system is based on the ensemble *adjustment* Kalman filter (EAKF; Anderson
124 2001), which is a deterministic variant of the ensemble Kalman filter. The EAKF estimates the
125 probability distribution function (PDF) of climate states by combining the prior PDF derived from
126 model dynamics and the observational PDF. It uses a two-step data assimilation procedure (the first
127 step computes ensemble increments at an observation location and the second step distributes the
128 increments over the impacted grids) for an ensemble Kalman filter under a local least squares
129 framework. The filtering process is implemented by a multivariate linear regression with consideration
130 of covariance of both atmospheric and oceanic state variables (Anderson 2003). The data adjusted
131 ensemble members are the realizations of the analysis PDF and serve as the initial conditions for the
132 next ensemble integration. The analysis steps are computed daily and distributed over the model time
133 steps to reduce analysis shocks.

134 The GFDL system consists of an EAKF applied to GFDL's fully coupled climate model CM2.1
135 (Zhang et al. 2007), which is designed to produce a better-balanced initialization as opposed to each
136 component model using its own assimilation system. The ocean component of the ensemble coupled
137 data assimilation (ECDAv3.1) is the Modular Ocean Model version 4 (MOM4) configured with 50
138 vertical levels and 1° horizontal resolution, telescoping to $1/3^\circ$ meridional spacing near the equator.
139 The atmospheric component has a resolution of $2.5^\circ \times 2^\circ$ with 24 vertical levels. A fully coupled model
140 gives the first guess. The atmosphere is constrained by an existing atmospheric analysis. Ocean
141 observations of temperature, salinity, and SST are assimilated using time-evolving covariance

142 structures from the coupled model. Argo observations are included as they became available in the
143 post-2000 period. The cross-interface covariance structures in the GFDL system allow for fully
144 coupled assimilation. For the ocean component, subsurface temperature and salinity observed profiles
145 (XBT, CTD, OSD, MBT, and MRB) from the World Ocean Database 2009 (Boyer et al. 2009) and
146 SST are assimilated daily (see details in Chang et al. 2012 and [http://www.gfdl.noaa.gov/ocean-data-](http://www.gfdl.noaa.gov/ocean-data-assimilation)
147 [assimilation](http://www.gfdl.noaa.gov/ocean-data-assimilation)). The atmosphere is constrained by an existing atmospheric analyses [NCEP/NCAR
148 reanalysis 1 for 1960-1978 (Kalnay et al. 1996) and NCEP/DOE reanalysis 2 for 1979-2010
149 (Kanamitsu et al. 2002)], using temperature, winds and sea level pressure. Sea ice and soil moisture
150 evolve without observational constraint.

151 All ECDAv3.1 experiments are performed with a 12-member ensemble that is used to compute state
152 estimation, ensemble mean, and the spread of the estimate. Ten of ensemble members are also used as
153 initial conditions. The ECDAv3.1 also uses covariance inflation that is designed to enhance the
154 consistency of upper and deep ocean adjustments, based on climatological standard deviation being
155 further informed by available observations (Zhang and Rosati 2010). The coupled methodology is
156 chosen to produce an initialization that is in a better-balanced state between the state variables of the
157 atmosphere and ocean. Ideally, the coupled assimilation would include the advantages of both the full
158 field initialization and anomaly initialization, in the sense that the initialization shock and model drift
159 issues are mitigated.

160 Chang et al. (2012) performed a comprehensive analysis of many aspects of the ECDAv3.1
161 reanalyses and showed that it is in good agreement with observations as well as existing reanalysis for
162 both climatology and variability. Throughout this paper the ECDAv3.1 reanalysis is used as our
163 verification source and will be referred to as **observations**.

164 *c. Experimental Design*

165 We have used the GFDL CM2.1 climate model (Delworth et al., 2006) to conduct an extensive set of
166 hindcasts and predictions. The model is initialized using a state of the art coupled assimilation system

ECDAv3.1 (Chang et al., 2012, Zhang et al., 2007). For each set of observed states (initial conditions) we conduct a ten member ensemble of ten year hindcasts or predictions (hindcasts when the starting date is in the past, and so its accuracy may be assessed; predictions when the starting date is close to the present, and so its accuracy is not yet known). We use observed states from January 1 of each year from 1961 to 2012, for a total of 52 hindcasts and predictions (representing 5200 model simulated years). The ensemble members differ slightly in their initial conditions as derived from the assimilation system. The simulations use estimates of observed changes in radiative forcing that include volcanoes until 2005, and estimated forcing according to the Representative Concentration Pathways (RCP4.5) scenario (Meinshausen et al. 2011) thereafter. We refer to these simulations as the **initialized experiments**. It should be noted that the GFDL hindcast suite exceeds the CMIP5 recommendations (minimum of three ensemble members) for both number of ensemble members and number of start dates both of which should contribute to a better signal to noise ratio than would be achieved with fewer start dates and smaller ensembles.

In addition, we have conducted a separate 10-member ensemble of simulations using the CM2.1 model that are not initialized from an observed state. These start from arbitrary initial conditions in a control simulation, and cover the period 1861-2040. The simulations use the same changes in radiative forcing that the hindcasts use, and are intended to estimate the forced response of the climate system. We refer to these historical forcing simulations as the **uninitialized experiments**. The central question to ask is whether the initialized experiments provide better hindcasts than the uninitialized experiments.

d. Bias Correction

The CM2.1 climate simulation will drift away from the observed climate to the model's own climate as the forecast progresses beyond the initial conditions. In order to take the systematic error into account, we "bias correct" with a lead-dependent climatology. The protocol for full field initialization suggested for CMIP5 decadal forecasts (ICPO, 2011) was followed. The model drift is computed as the

192 difference between the ensemble of hindcasts and the observations averaged over the hindcast range,
 193 specifically annual means from 1961-2011. The results presented here are validated to the
 194 GFDL/ECDAv3.1 reanalysis and the drift from observations is relative to the ECDAv3.1 climatology.
 195 The averages over the ensemble mean of the forecasts \bar{F} and the corresponding observations \bar{O} over
 196 the forecast lead are:

$$197 \quad \bar{F}_{nl} = \frac{1}{n_{for}} \sum_{nf=1}^{n_{for}} F_{nfnl} \quad \bar{O}_{nl} = \frac{1}{n_{for}} \sum_{nf=1}^{n_{for}} O_{nfnl}$$

198 where $nf = 1, \dots, n_{for}$ is the number of forecasts, $n_{for}=52$ start dates from 1961-2012 and $nl = 1, \dots$
 199 n_{leads} is the number of annual mean forecast leads, where $n_{leads}=10$ years. The model drift may be
 200 defined as the difference between the ensemble mean of the forecasts and the observations averaged
 201 over all start dates as:

$$202 \quad d_{nl} = \bar{F}_{nl} - \bar{O}_{nl} \quad (1)$$

203
 204 and the bias corrected forecast \hat{F}_{nfnl} is obtained by subtracting the drift from each ensemble member
 205 as:

$$206 \quad \hat{F}_{nfnl} = F_{nfnl} - d_{nl} = \bar{O}_{nl} + (F_{nfnl} - \bar{F}_{nl}) = \bar{O}_{nl} + F'_{nfnl} \quad (2)$$

207 the anomaly of the forecast with respect to the forecast average is:

$$208 \quad F'_{nfnl} = F_{nfnl} - \bar{F}_{nl} \quad (3)$$

209 and the observed anomaly is:

$$210 \quad O'_{nfnl} = O_{nfnl} - \bar{O}_{nl} \quad (4)$$

211 The “bias correction” was calculated in a cross-validated manner where each corrected forecast does
 212 not contribute to the forecast average, this is also done for the observations and uninitialized projections

213 for consistency. Using start dates every year yields a bias correction that is systematic with lead and
214 thus does not mask the predictable signal. Anomalies as defined by eqns. 3 and 4, will be used for all
215 computations throughout this paper.

216 Figure 1 shows the zonal mean of the lead dependent drift (d) for: air temperature at two meters
217 (T2m); sea surface temperature (SST); and the average ocean temperature over the top 300m (Tav300),
218 which is subtracted from the raw forecast to produce anomalies. The most prominent features in T2m
219 are primarily a cold bias that gets progressively colder with lead and is at a maximum and more gradual
220 at high latitudes, along with a warm bias in the Southern Ocean, which may be traced to a low-level
221 cloud bias. The SST drift is nearly a reflection of the air temperature; however, Tav300 appears to
222 delay the systematic error, which may be associated with predictability, as this would be the long lead
223 memory in the system.

224 e. *Hindcast Spread*

225 The use of ensemble standard deviation, as a measure of spread, is one approach to determine that the
226 distribution of solutions of the individual ensemble member hindcasts show some reproducible
227 behavior or whether it is chaotic. Figure 2 illustrates this for both SST and Tav300. At all time leads
228 the SST has greater spread than the subsurface heat content, (except in the tropical West Pacific, due to
229 winds/thermocline variations) which may be expected since SST would feel more of the effects of the
230 internal variability of the atmosphere, whereas Tav300 would be subject to the greater thermal inertia
231 of the ocean and thus contain the longer memory of the initialization to extend predictability. This
232 shows that subsurface ocean, particularly in the Atlantic, is retaining some of the initial anomalies even
233 for time leads of 5 and 10 years. This measure of irreproducibility or prediction uncertainty is not to be
234 confused strictly with prediction error but rather potential predictability (or the model's capability of
235 predicting itself (Boer, 2010)). There is little understanding on the relationship between spread and
236 skill for climate predictions. Branstator et al. (2012) investigated the degree that the initial state can

influence climate predictions and it was found that for the North Atlantic in the GFDL model the memory of the initial state of heat content tends to persist for about a decade.

3. Decadal Prediction Results

The goal of this paper is to determine if, through initialization from an observed state there may be garnered additional predictability due to the representation of the internal variability over the uninitialized external radiatively forced signal. In order to discern this we have set up the best case comparison in that the coupled model and the forcing are identical in both the initialized and uninitialized experiments and the initialization and verification derives from a reanalysis that also uses the same coupled model and the same radiative forcing.

In order to assess skill, we have chosen to only show anomaly correlation coefficients (ACC) and for only three temperature variables. Although we also calculated mean squared skill score (MSSS), there did not seem to be any additional information content over the ACC.

Following Goddard *et al.* (2012) ACC is defined as:

$$ACC(nl) = \frac{\sum_{nf=1}^{nfor} (F'_{nfnl} * O'_{nfnl})}{\sqrt{\sum_{nf=1}^{nfor} F'^2_{nfnl} \sum_{nf=1}^{nfor} O'^2_{nfnl}}} \quad (4)$$

where $ACC(nl)$ may be a particular lead or an average of leads.

The p-value from a two-tailed Student's t test is used for significance assessment of ACC. The p-value when taking the difference between correlation coefficients is from a two-tailed Fisher Z test for significance assessment (Goddard et al. 2012). The effective sampling size is used to reduce the degrees of freedom based on their autocorrelation (Bretherton et al. 1999).

256 Figures 3,4,5 show the global ACC at various forecast leads for variables T2m, SST, Tav300. The
257 top row is the correlation between the uninitialized hindcasts and the ECDAv3.1 reanalysis (hereafter
258 “observations”). The middle row is the correlation between the initialized hindcasts and observations.
259 The bottom row is the difference between the two. Only positive values are assessed for significance,
260 since positive ACC values indicate a positive linear relationship between the hindcasts and
261 observations. As expected, the Year 1 initialized hindcasts show considerably more positive correlation
262 that increases going from T2m to SST to Tav300, with the ENSO pattern being a dominant feature.
263 The average of forecast Years 2-5 and Years 6-10 show very similar ACC patterns between the
264 uninitialized and initialized hindcasts, indicating that the trend from the external forcing is dominant
265 and causing both sets of hindcasts to converge. The difference maps show two exceptions: 1) Tav300
266 for Years 2-5 has more positive correlation years then T2m and SST. This indicates the more slowly
267 evolving ocean heat content and longer predictability. 2) Every difference map shows persistent
268 significant positive ACC in the North Atlantic region. To elucidate this feature, Figure 6 shows bias
269 corrected hindcast SSTs where the top is the global average and the bottom is the average over the
270 North Atlantic. For the global SST, the hindcast lead 1 is close to the observations, however, the leads
271 5 and 10 have converged to the uninitialized case, indicating that the forced climate response
272 overwhelms the memory of the initial conditions. The natural aerosol forcing from the volcanoes El
273 Chichon (1982) and Pinatubo (1991) is quite evident, although the response may be overly sensitive
274 compared to observations (Delworth et al., 2005; Stenchikov et al, 2009).

275 In contrast the North Atlantic SSTs at all hindcast leads converge to observations (see also Pohlmann
276 2009) and follow the mean Atlantic multidecadal oscillation/variability (AMO/AMV) index (Yang et
277 al, 2012) and the phase shift of the rapid warming starting in 1995. This feature is very distinct from
278 the uninitialized ensemble-mean case where there is no rapid rise in temperature. What is particularly

279 interesting is that the internal variability manifested from the initial conditions clearly distinguishes
280 itself from the uninitialized hindcasts in the North Atlantic.

281 In a companion paper, Yang et al. (2012) shows that the initialized GFDL decadal hindcasts may be
282 capable of predicting SST Atlantic Multidecadal Variability up to 4 (10) year lead time at 95% (90%)
283 significance level. Further Msadek et al. (in prep) investigates the predictability and the mechanisms of
284 the North Atlantic warming within this GFDL decadal prediction framework. Within this paper we
285 focus only on the question: was the 1995 North Atlantic warming predictable? To help to answer that
286 question we show Figure 7, which depicts the North Atlantic Tav300 pentads starting in 1986 and
287 ending in 2010. Looking at the observations (top row) the evolution of the increased heat content may
288 be seen and the Year 1 lead (second row) shows good agreement. The Year 5 lead does not quite
289 capture the rapid heat content increase in 1995 but once the warm regime is established the hindcasts
290 are able to maintain it out to 2010. The Year 10 lead remarkably shows some skill also in the last two
291 pentads. This is in contrast to Yeager et al. (2012) whose ensemble of decadal predictions successfully
292 predicted the rapid rise in the mid-1990s heat content as early as 1991. Robson et al. (2012) found that
293 the mid-1990s rapid North Atlantic warming could be understood as a delayed response to the
294 prolonged positive phase of the NAO that led to a surge in northward heat transport. Clearly the top
295 row of Fig. 7 shows that the ECDAv3.1 captures the evolution of the observed heat content anomalies
296 and therefore our initialization is correctly preconditioned. To what extent the initial ocean memory of
297 the heat content as well as the associated dynamic response gives rise to predictability is beyond the
298 scope of this paper. Zhang et al. (2007) and Knight et al. (2005) suggest that the multidecadal
299 variability in the Atlantic may potentially play some role in the evolution of Northern Hemisphere
300 mean temperatures on decadal scales. Vecchi et al. (2012) shows that retrospective multi-year Atlantic
301 hurricane predictions have high correlation in the initialized forecasts relative to the uninitialized
302 projections. The increased skill of the initialized forecasts largely derives from the ability to persist the

303 initial conditions and thus represent the mid-1990's climate shift, which corresponded to the observed
304 upward shift in Atlantic hurricane frequency. Although, as seen in figure 7 the predictions prior to 1995
305 did not successfully predict the shift. However, starting in 1995 and thereafter, the initialized forecasts
306 were able to persist the climate shift and that is largely responsible for the increased skill. This is an
307 excellent example, of the importance in considering the internal variability and the need to predict it.

308 Similar analyses were conducted for precipitation but the results are not shown, as there was no
309 significant skill at any forecast lead or average of leads, except for forecast lead 1 in the tropics.

310 **4. Limitations to Predictability**

311 Decadal prediction is a cutting edge research topic and it is not clear what will be predictable.

312 In order to assess the skill in decadal predictions the system first needs to be verified by hindcasts over
313 a time-series of a long period (Kumar, 2009). Decadal prediction requires a long history in order to
314 verify the low frequency inter-decadal variability. However, since the observational record is short and
315 as in this study there are only 51 years, sampling uncertainty for decadal variability is to be expected. If
316 the predictability were state dependent then the small sample size would make it difficult to determine
317 in what state the prediction would be more reliable. The sampling issue is also compounded by the
318 inhomogeneity of the observing systems, raising the question of the quality of the initial states. For
319 example are the hindcasts of the '60s, with sparse XBT data and very little salinity data to constrain
320 density anomalies, comparable to the hindcasts of the mid 2000s with Argo fully deployed globally?
321 Yet in our verification all predictions are weighted equally. This validation is further exacerbated by
322 the fact that the climate itself is not stationary. Of course, if the climate observing system is sustained
323 and even supplemented, in time these sampling difficulties will be alleviated.

324 The relative importance of uncertainty amongst model, scenario, and internal variability is well
325 represented in Figure 4 of Hawkins and Sutton, (2009). It shows that on decadal time scales the

326 dominant sources of uncertainty are model uncertainty and internal variability. In general the internal
327 variability increases on smaller spatial scales and hence predictability is likely to be greater for large-
328 scale ocean features and smaller for regional scale continental climate changes. Arguably both of these
329 sources of uncertainty may be reduced as systematic errors in models are reduced and initialization is
330 improved. Perhaps a possible rethinking of the role of scenario uncertainty on decadal time scales
331 needs to be reconsidered given some of the recent findings on anthropogenic aerosols. As shown by
332 Delworth and Dixon 2006, the aerosol forcing tends to cool the North Atlantic and enhance the
333 AMOC. Many studies suggest that the observed multi-decadal variability in the North Atlantic may be
334 linked to aerosol emissions (Rotstayn and Lohmann 2002; Even et al. 2009; Chang et al. 2012; Booth
335 et al. 2012; Villarini and Vecchi 2012). This effect was not previously identified in IPCC/AR4 models
336 since in most models only the direct effect of aerosols was represented; however, Booth et al. (2012)
337 note that the inclusion of indirect aerosol effects has a large impact on North Atlantic SST. Although
338 there is considerable uncertainty in the magnitude of aerosol forcing and its role in climate, it is likely
339 to be an important consideration for decadal predictions.

340 The expectations for credible near term predictions are quite high among various stakeholders and
341 probably too optimistic. The uncertainty in these experimental predictions needs to be conveyed as
342 part of their delivery.

343 **5. Temperature at 2m 2012-2021 Forecast**

344 In the previous sections of the paper the hindcasts and their verification were the main topic. Here the
345 decadal forecast initialized in January 2012 and using the RCP4.5 scenario as forcing projections is
346 presented. Figure 8 shows the T2m anomalies relative to the 1971-2000 climatology. The first panel
347 for the 2012 forecast shows the memory of the initial conditions as the cold eastern Pacific and warm
348 North America features are prominent. The remaining three panels show the warming response from

349 the external forcing as well as the impacts of the initialization. The warming is stronger over land than
350 ocean and over the Southern Ocean there is cooling that diminishes by the 2017-2021 forecast.

351 To try to put this in context Figure 9 shows the difference of the forecast anomalies with the
352 uninitialized projections for pentad time periods of the decadal forecast. Overall the forecast is cooler
353 than the uninitialized projections, however, regions of Asia, North Pacific and North Atlantic sub-polar
354 gyre are warmer than the uninitialized projection. Consistent with Yang et al. (2012) the forecast shows
355 the AMO to be still in the warm phase, resulting in the bi-polar T2m pattern. At the longer forecast
356 leads the initialized forecasts approach the uninitialized projections.

357 **6. Summary and Conclusions**

358 Using the GFDL/CM2.1 coupled model and the ECDAv3.1 reanalysis, a series of initialized decadal
359 hindcasts and forecasts as well as uninitialized historical runs were made to investigate decadal
360 predictability. This experimental protocol is consistent with the near term prediction experiments of
361 CMIP5 and IPCC AR5. Largely the decadal predictability is realized by the climate response to
362 changing radiative forcing, particularly at forecast leads greater than three years. The North Atlantic
363 emerges as a region where the internal variability, as represented by the initialization, showed skill out
364 to long forecast leads that rose above that of the uninitialized projections. This is particularly evident in
365 the rapid warming that occurred in the North Atlantic in 1995. This climate shift was not well predicted
366 prior to 1995, however the predictions from 1995 and on were able to show skill for the shift.

367 Forecasts for the five-year periods 2012-2016 and 2017-2021 show that surface temperature will be
368 warmer relative to the 1971-2000 climatology for all land regions and ocean basins, except for the
369 Southern Ocean where cooling is predicted. The forecasts at leads greater than four years largely
370 converge to the uninitialized projections, with the RCP4.5 scenario. Smith et al (2012) show the first
371 multi-model decadal climate prediction, which includes the GFDL prediction amongst the ensemble.

372 This emerging effort will serve as experimental predictions that the entire climate community may be
373 able to view and evaluate. Such an activity will enable comparisons of predictions and observations to
374 identify model errors. This may well be the way forward toward advancing model skill.

375 Although formidable challenges remain, the potential utility of decadal predictions and real time
376 attribution is enormous. While there is undoubtedly room for model improvement, we show that
377 natural climate variability poses inherent limits to climate predictability. Thus raising expectations
378 regarding predictability or the lack thereof should be avoided.

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381

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549 **Figure Captions:**

550 **Figure 1:** Lead dependent zonal mean of the drift climatology for 2-meter air temperature (T2m), sea
551 surface temperature (SST), and averaged temperature over the upper 300 meters (Tav300). Contour
552 interval is 0.2 degrees C. Lead times are given in years.

553

554 **Figure 2:** Ensemble Standard Deviation of SST (left) and average ocean temperature over top 300
555 meters, Tav300 (right) from hindcasts for leads 1,5,10. Units are in degrees C.

556

557 **Figure 3:** T2m Anomaly Correlation Coefficient (ACC) with the GFDL reanalysis of the uninitialized
558 forecasts (top row), initialized forecasts (middle row), and their difference (bottom row). Forecast year
559 1 is the first column, the average of forecast years 2-5 is the second column and the average of forecast
560 years 6-10 the third column. The yellow contour lines represent the 90% significance.

561

562 **Figure 4:** Same as in Fig 3 but for SST.

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564 **Figure 5:** Same as in Fig. 3 but for Tav300.

565

566 **Figure 6:** Two year running mean bias corrected average SST, black - OBS observations from
567 ECDAv3.1, red – Uninitialized , blue solid lead 1 forecast, blue dash lead 5, blue dot lead10. Top -
568 Global SST, Bottom – North Atlantic SST averaged over 30N-65N, 80W-10W. Units are in degrees C.

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570 **Figure 7:** North Atlantic Tav300 pentads in degrees C. Top row shows observations. Subsequent rows
571 show forecast leads 1, 5, and 10. Leads are in years.

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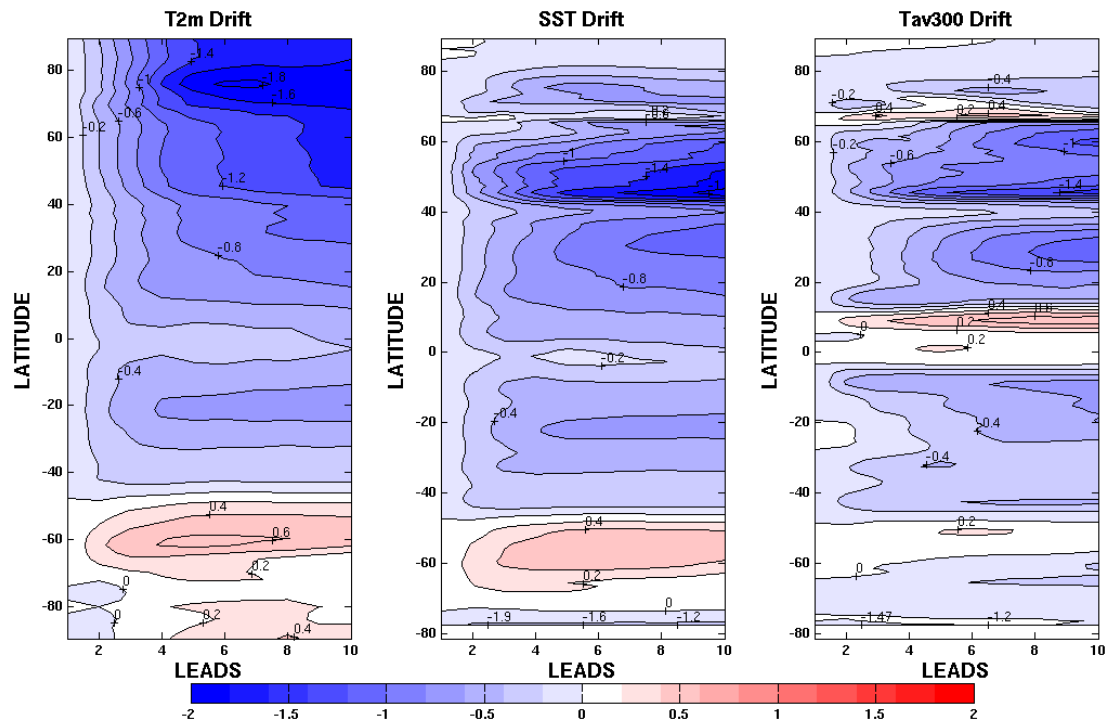
573 **Figure 8:** T2m forecast bias corrected anomaly relative to the 1971-2000 climatology. Units are in
574 degrees C.

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576 **Figure 9:** Difference of initialized forecast (start date is January 1, 2012) and uninitialized projection,
577 showing impact of initialization. Left – 2012-2016 forecast period, Right – 2016-2021 forecast period.

578 Contour units are in degrees C.

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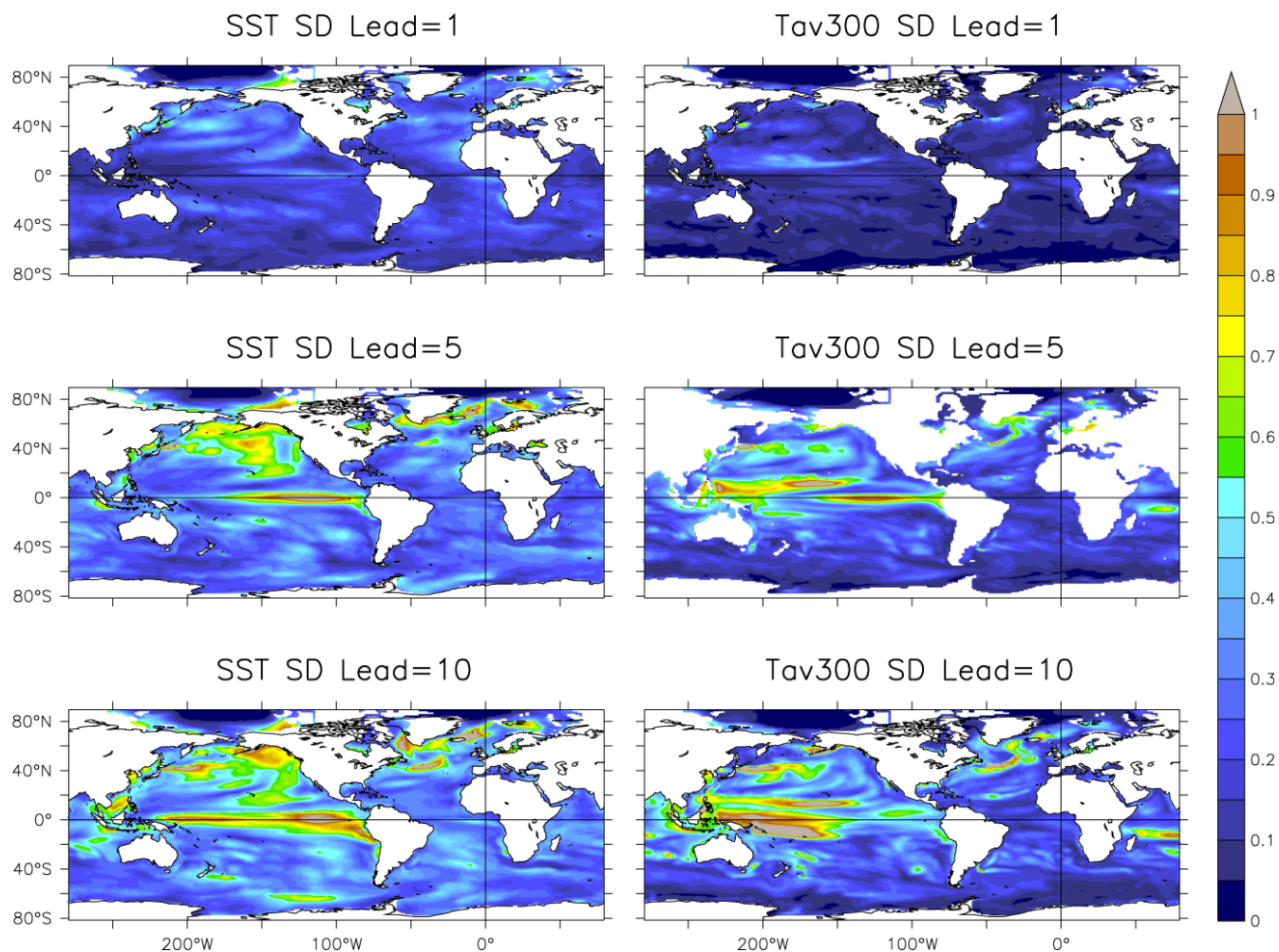
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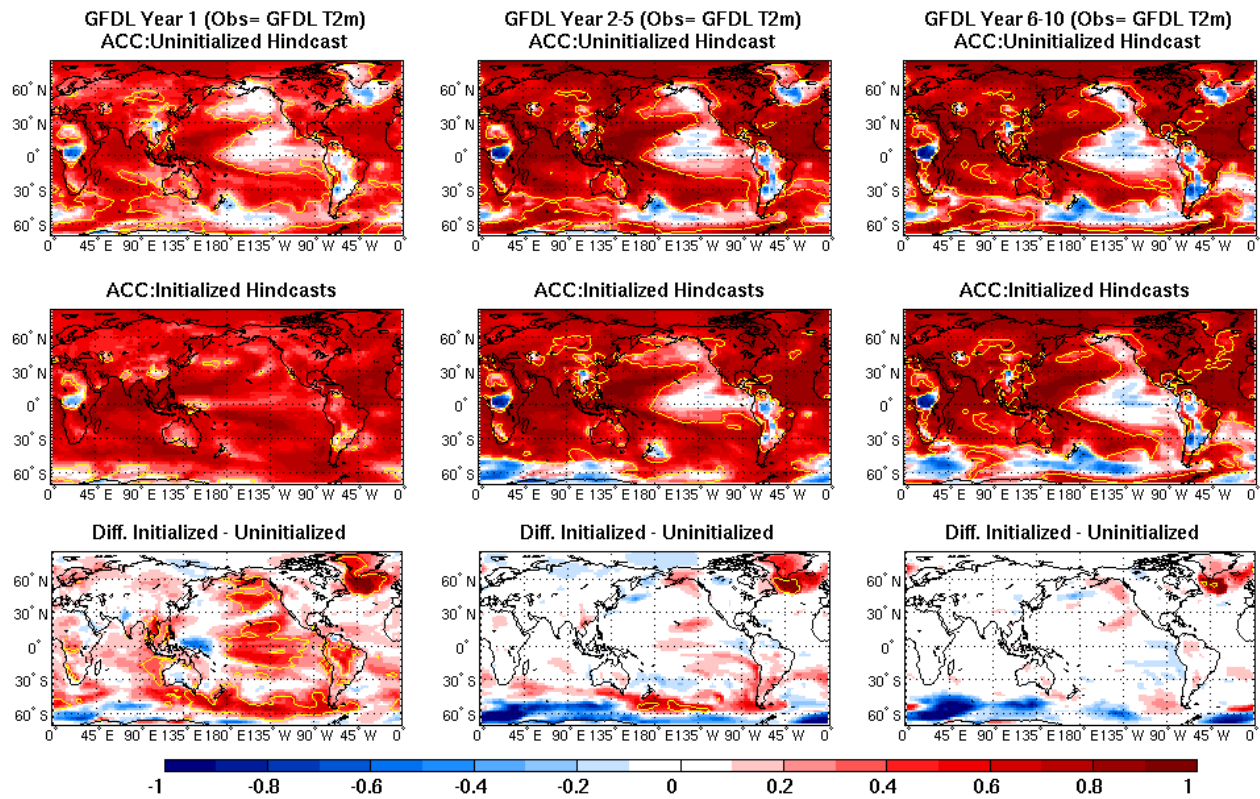
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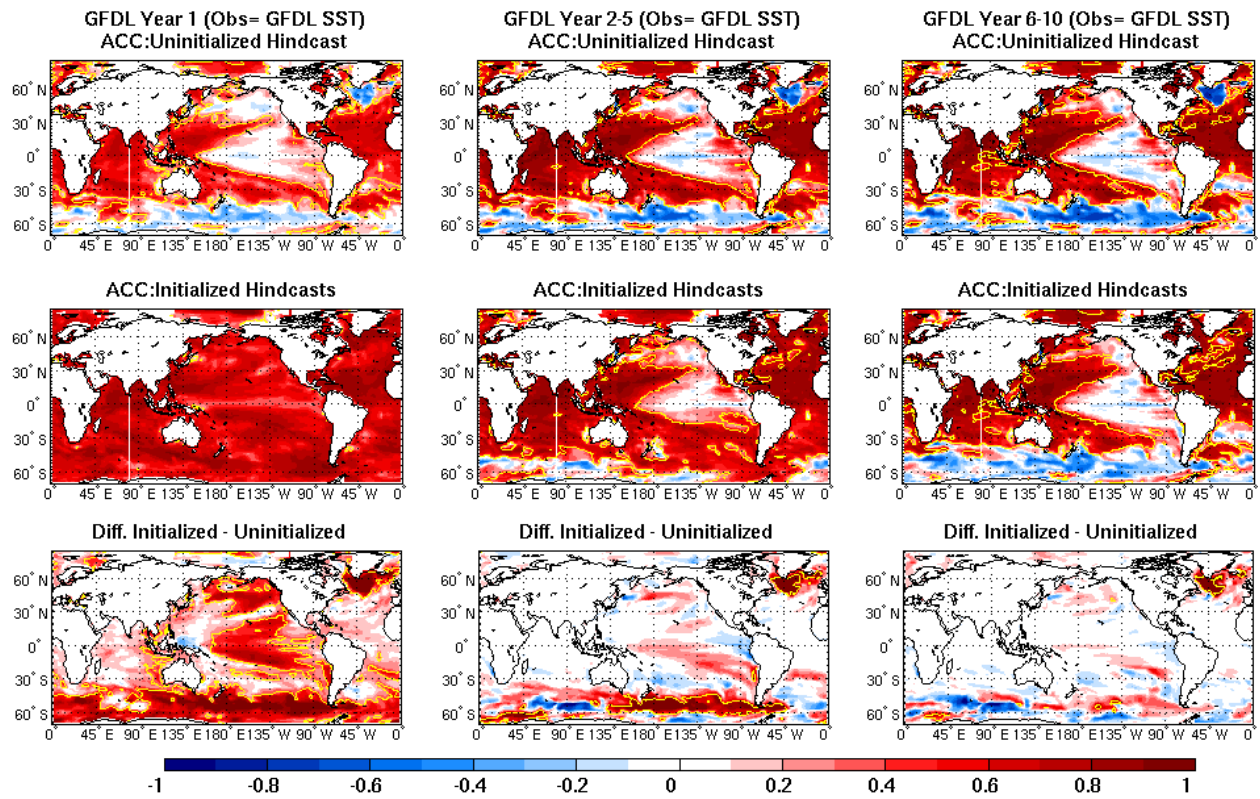
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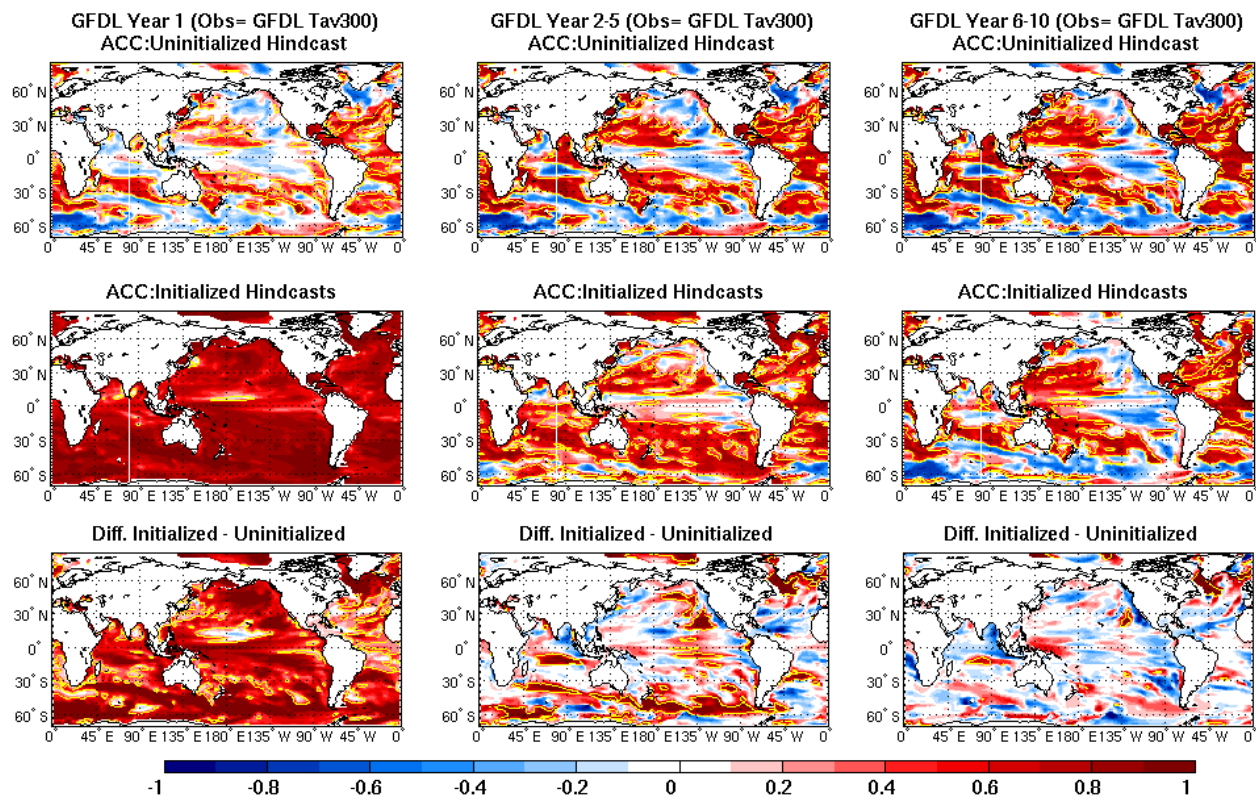
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621 Figure 5 – Same as in Fig. 3 but for Tav300.

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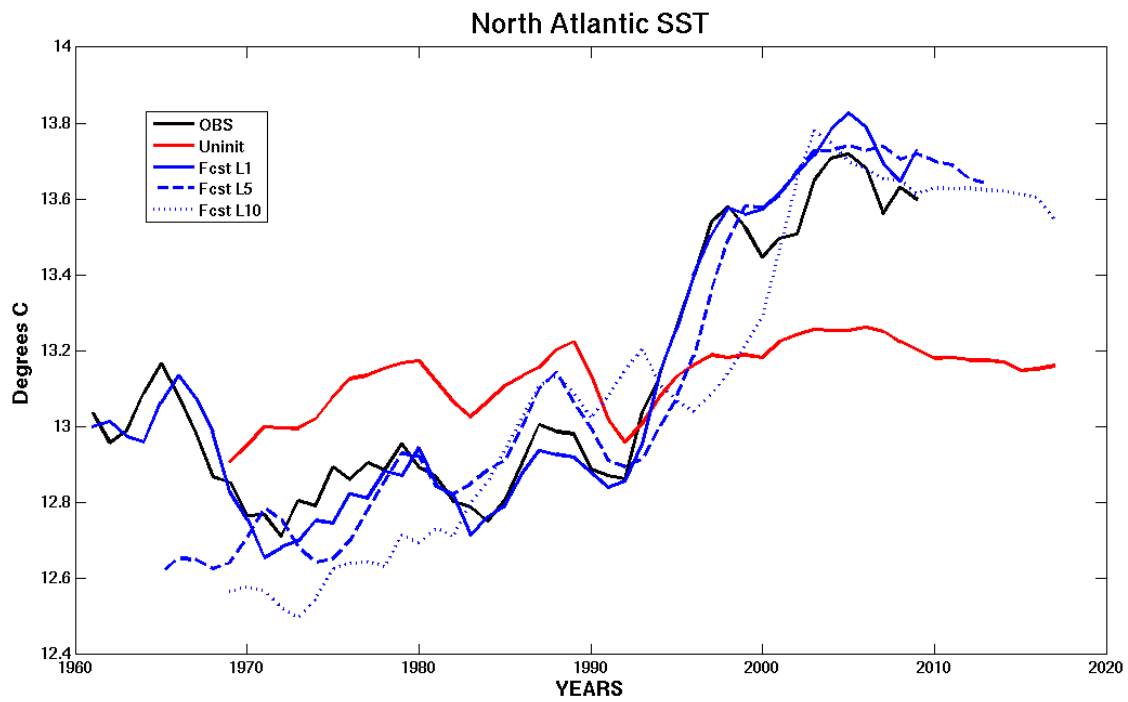
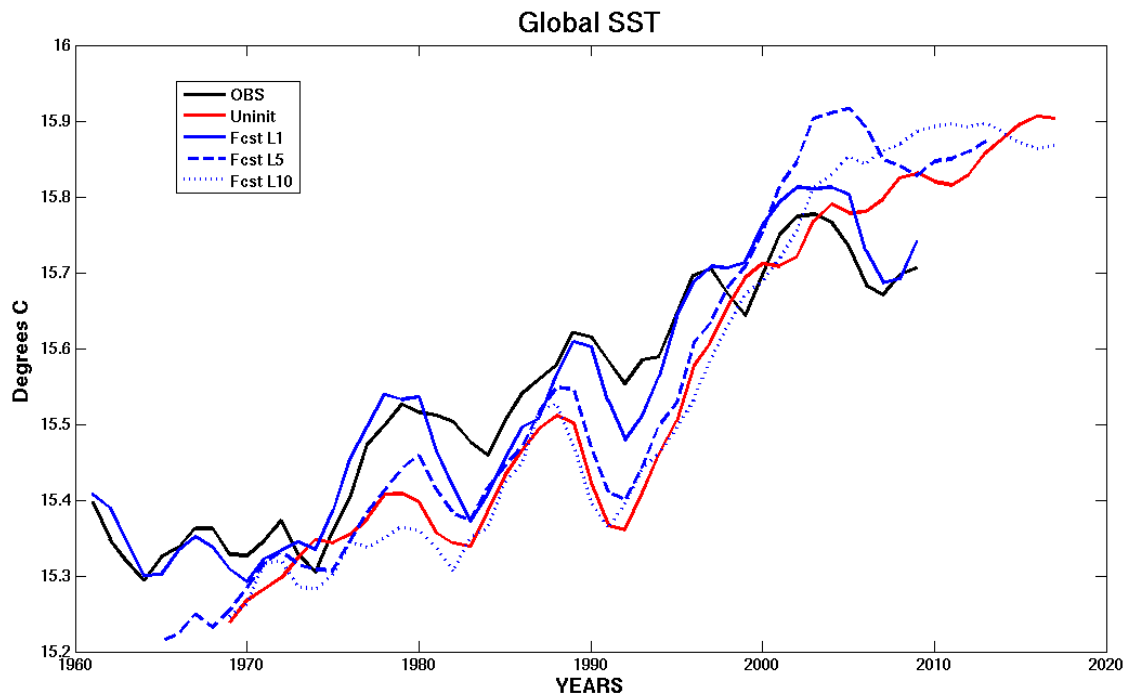
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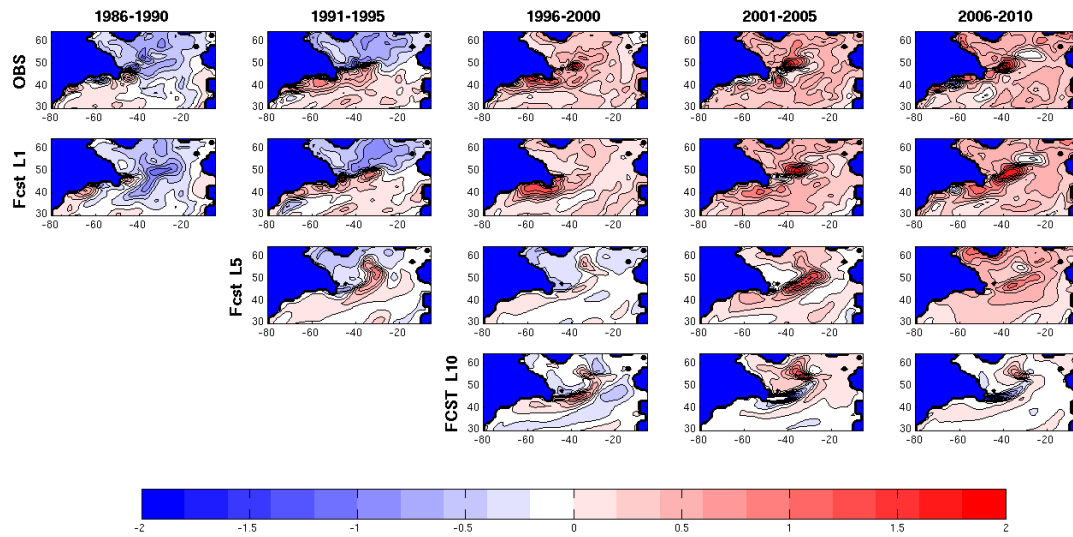
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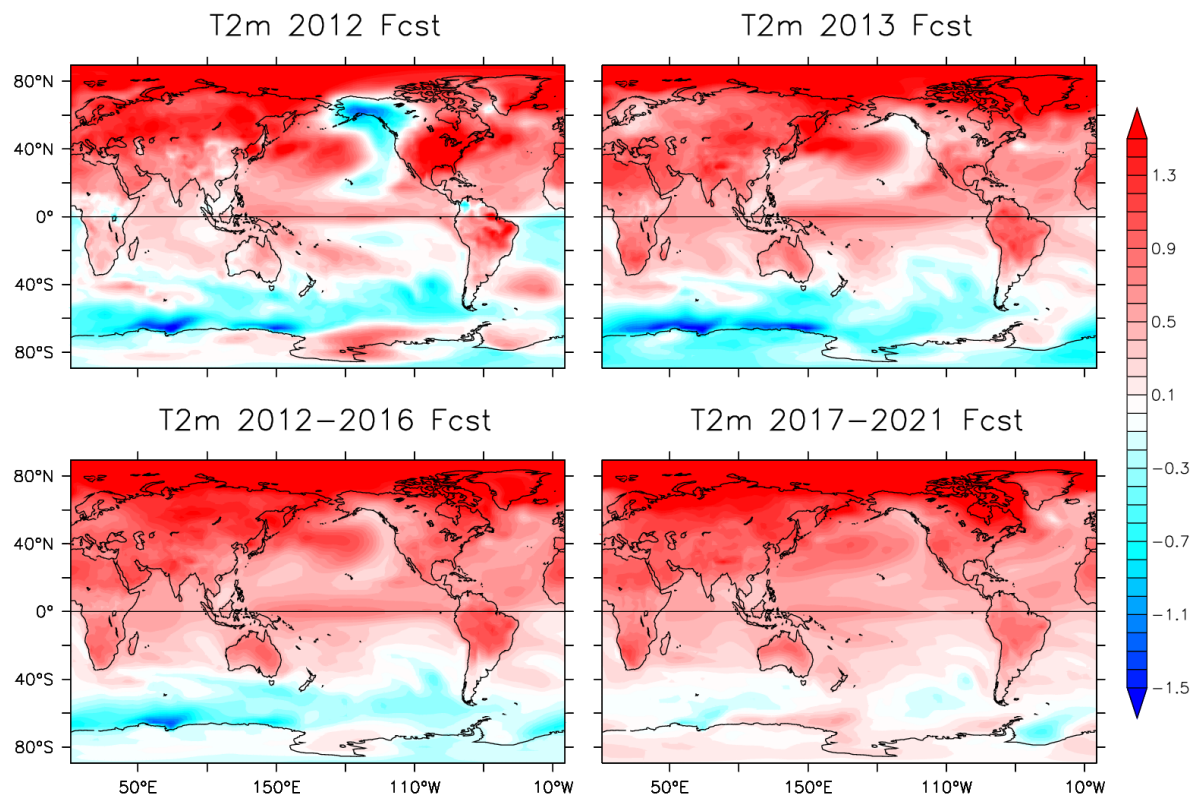
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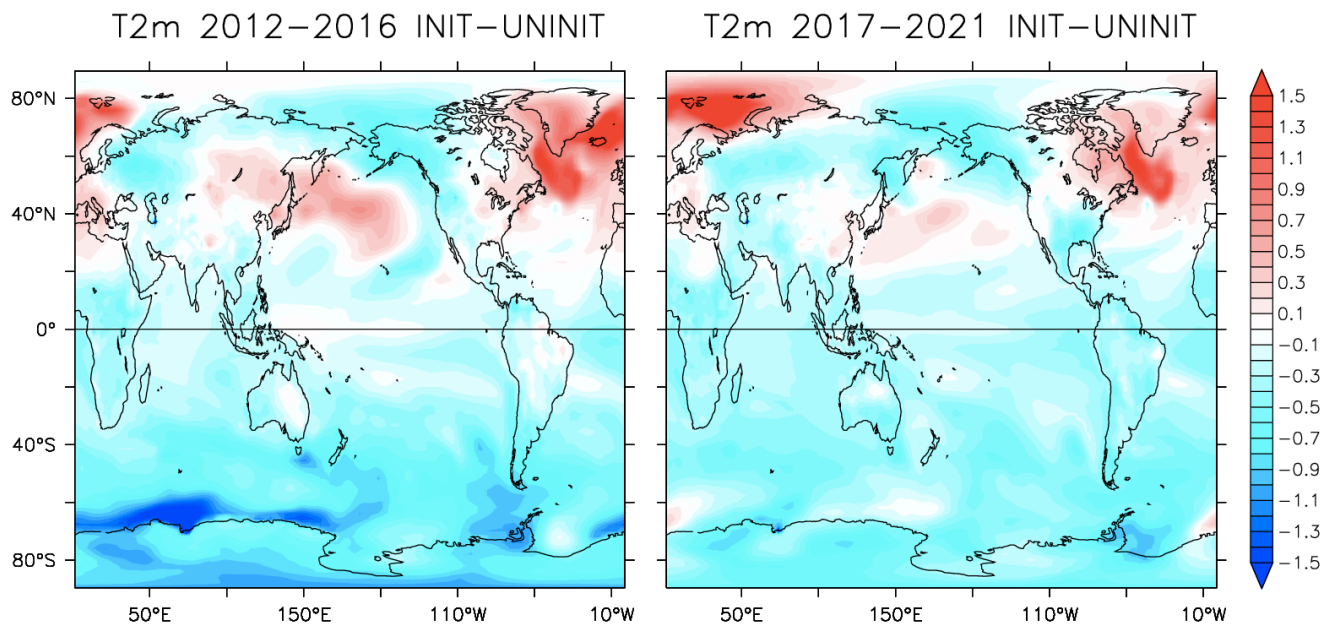
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